



Guideline

OJP G 3500.2

September 11, 1990

Subject: **DENIAL OF FEDERAL BENEFITS FOR CERTAIN DRUG OFFENDERS**

1. **PURPOSE.** The purpose of this Guideline is to provide information to supplement the President's implementation plan of August 30, 1989, on the Denial of Federal Benefits Program.
2. **SCOPE.** This Guideline is of interest to all persons involved in any aspect of the Denial of Federal Benefits Program.
3. **AUTHORITY.** Section 5301 of the Anti-Drug Abuse Act of 1988 (Pub.L. 100-690), (21 U.S.C. 853a).
4. **POLICY.** Administration and Enforcement of the Act.
 - a. The administration and enforcement of the Denial of Federal Benefits to Drug Traffickers and Possessors provisions of Pub.L. 100-690 Subtitle G, Section 5301, is subject to the general supervision and direction of the Attorney General, as assigned by the President. The Attorney General has assigned these duties to the Assistant Attorney General for the Office of Justice Programs (OJP).
 - b. Copies of the statutory provision, guidelines, and forms prepared to implement the statute, and information concerning the foregoing, may be obtained upon request without charge from the Denial of Federal Benefits Project (DFBP), Office of Justice Programs (OJP), Department of Justice, 633 Indiana Avenue, N.W., Washington, D.C. 20531, phone (202) 307-0630.
 - c. The Comptroller, Office of Justice Programs, is authorized to prescribe such forms and instructions in addition to, or in lieu of, those specified in this Guideline as may be necessary to carry out the purposes of this program.
5. **DEFINITIONS.**
 - a. *Federal benefit* means (1) the issuance of any grant, contract, loan, professional license, or commercial license provided by an agency of the United States or by appropriated funds of the United States, and (2) does not include any retirement, welfare, Social Security, health, disability, veterans benefit, public housing, or other similar benefit, or any other benefit for which payments

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A. E. REFERENCE

or services are required for eligibility. Appendix 1 contains a partial list of benefits covered.

- b. *Veterans benefit* means all benefits provided to veterans, their families, or survivors by virtue of the service of a veteran in the Armed Forces of the United States.
- c. *Controlled substance* means a drug or other substance as defined in the Controlled Substances Act, 21 U.S.C. 801, et seq., particularly in 21 U.S.C. 802(6), listed in schedules I through V of the Controlled Substances Act in 21 U.S.C. 812, or a controlled substance analog as provided in 21 U.S.C. 813.
- d. *Trafficking offense* means any offense that includes manufacturing, distributing, importing, dispensing, cultivating, or creating a controlled or counterfeit substance; or possession with intent to do any of the above; or conspiracy to commit any of the above offenses.
- e. *Deemed to be Rehabilitated and Long-Term Treatment Program* for purposes of carrying out the provisions of Section 5301 of the Anti-Drug Abuse Act of 1988 are defined pursuant to rules established by the Secretary of the Department of Health and Human Services in Part 78 of Title 45 of the Code of Federal Regulations.
- f. The definitions of "has otherwise been rehabilitated" and "supervised drug rehabilitation" that follow are nonbinding and are shown here merely for informational purposes so that the courts may take judicial notice thereof.

1. *Has otherwise been rehabilitated* for purposes of carrying out the provisions of Section 5301 (c)(B) of the Anti-Drug Abuse Act of 1988, 21 U.S.C. 853a (c)(B), means that an individual has abstained from the illegal use of a controlled substance for a period of at least 180 days, provided that such abstinence is documented by the results of periodic urine drug testing conducted during that period; and provided further that such drug testing is conducted using an immunoassay test approved by the Food and Drug Administration for commercial distribution or, in the case of a State offense, either using an immunoassay test approved by the Food and Drug Administration for commercial distribution or pursuant to standards approved by the State.

2. *Supervised Drug Rehabilitation* is a program supervised and/or maintained by a State, local, or private organization licensed to conduct or supervise rehabilitation services that incorporates a system for regular monitoring through drug testing and reporting on the progress of individual treatment subjects, and that provides written reports to the appropriate governmental authority at regular intervals during the course of

treatment and at the completion or termination of treatment.

- g. State means any State, territory, or possession of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Trust Territory of the Pacific Islands, and the Canal Zone (21 U.S.C. 802 (26)).

6. DENIAL OF FEDERAL BENEFITS TO DRUG TRAFFICKERS. Any individual who is convicted of any State or Federal offense consisting of the distribution of a controlled substance (as such term is defined for purposes of the Controlled Substances Act. 21 U.S.C. 801 et seq.) shall:

- a. At the discretion of the court, upon the first conviction for such an offense, be ineligible for any or all Federal benefits for up to 5 years after such conviction;
- b. At the discretion of the court, upon a second conviction for such an offense, be ineligible for any or all Federal benefits for up to 10 years after such conviction; and
- c. Upon a third or subsequent conviction for such an offense, be permanently ineligible for all Federal benefits. (This provision is mandatory.)

7. EXCLUSIONS FOR DENIAL OF BENEFITS TO DRUG TRAFFICKERS. The benefits that are denied under Section 5301 shall not include benefits relating to long-term drug treatment programs for addiction for any person who, if there is a reasonable body of evidence to substantiate such declaration, declares himself to be an addict and submits himself to a long-term treatment program for addiction, or is deemed to be rehabilitated pursuant to rules established by the Secretary of Health and Human Services.

8. DENIAL OF FEDERAL BENEFITS TO DRUG POSSESSORS. Any individual who is convicted of any State or Federal offense involving the possession of a controlled substance (as such term is defined for purposes of the Controlled Substances Act (21 U.S.C. 801 et seq.)) shall:

(a) upon the first conviction for such an offense, and at the discretion of the court:

- (1) Be ineligible for any or all Federal benefits for up to one year;
- (2) Be required to complete successfully an approved drug treatment program that includes periodic testing to insure that the individual remains drug-free;
- (3) Be required to perform appropriate community service; or
- (4) Any combination of clauses 8(a)(1), (2) or (3).

(b) upon a second or subsequent conviction for such an offense, be ineligible for all Federal benefits for up to five years after such conviction as determined by the court. The court shall continue to have the discretion in subparagraph (a) in imposing penalties and conditions. The court may require that the completion of the conditions imposed by clause (a)(2) or (a)(3) be a requirement for the reinstatement of benefits under clause 8(a)(1).

9. WAIVER AND SUSPENSION OF DENIAL OF FEDERAL BENEFITS TO DRUG POSSESSORS: PENALTIES AND CONDITIONS. The penalties and conditions that may be imposed under paragraph 8 shall be waived in the case of a person who, if there is a reasonable body of evidence to substantiate such declaration, declares himself to be an addict and submits himself to a long-term treatment program for addiction, or is deemed to be rehabilitated pursuant to rules established by the Secretary of Health and Human Services.

10. SUSPENSION OF PERIOD OF INELIGIBILITY. The period of ineligibility referred to in paragraphs 6 and 8 shall be suspended by the court upon a showing that the individual (1) has completed a supervised drug rehabilitation program after becoming ineligible under Section 5301; (2) has otherwise been rehabilitated; or (3) has made a good faith effort to gain admission into supervised drug rehabilitation programs, but is unable to do so because of inaccessibility or unavailability of such a program, or the inability of the individual to pay for such a program.

11. INAPPLICABILITY.

a. *Government Witnesses.* The penalties provided by Section 5301 shall not apply to any individual who cooperates or testifies on behalf of the government in the prosecution of a State or Federal offense or who is in a government witness protection program. The government shall identify by motion any individual who has cooperated or testified on behalf of the government in the prosecution of a State or Federal offense or who is in a government witness protection program. The government may submit the motion under seal for the safety of a person or to avoid disclosure of an ongoing investigation.

b. *Indian Provision.* Nothing in this Guideline shall be construed to affect the obligation of the United States to any Indian or Indian tribe arising out of any treaty, statute, Executive Order, or the trust responsibility of the United States owing to such Indian or Indian tribe. Nothing in this subparagraph shall exempt any individual Indian from the sanctions provided for in Section 5301 provided that no individual Indian shall be denied any benefit under Federal Indian programs comparable to those described in subparagraphs 5(a)(2) and (b).

12. EFFECTIVE DATE. The Denial of Federal Benefits provision

may only be applied to convictions occurring after September 1, 1989, that arise from offenses occurring on or after November 18, 1988.

13. JUDICIAL ACTION.

- a. In pronouncing sentence, the court shall determine the range and scope of benefits to be denied. Recommendations regarding sentencing can be made to the court with respect to this law in accordance with all other sentencing recommendation requirements.
- b. The court, at its discretion, may deny some or all benefits or suspend eligibility on a benefit-specific basis. There are no restrictions on the number or range of benefits for which the court may deny eligibility, other than those benefits specifically excepted from judicial denial by Section 5301. Appendix 1 contains a partial listing of benefits that may be denied.
- c. The court, at its discretion, may sentence an individual to be ineligible for all Federal benefits. Accordingly, a court could order a blanket denial of all benefits for a specified period of time not to exceed the periods described in paragraphs 6 and 8 along with any exclusions to that blanket denial as stated above. This mechanism maximizes the flexibility of the judicial branch in determining sentences in particular cases.

14. CLEARINGHOUSE. The Denial of Federal Benefits Project (DFBP), Office of Justice Programs (OJP), Department of Justice (DOJ), will be the "information clearinghouse" for information provided by all State courts and Federal courts regarding sentences of drug traffickers or possessors that include denial of benefits and individuals who have been convicted of a third or subsequent drug trafficking offense. The DFBP will collect this information regarding those individuals to whom benefits are to be denied and forward this information to the General Services Administration (GSA) for inclusion in the publication, "Lists of Parties Excluded from Federal Procurement or Nonprocurement Programs," more commonly known as the "Debarment List." Each agency should consult the Debarment List to ensure compliance with the provisions of the statute.

15. STATE COURT AND FEDERAL COURT SUBMISSION OF STATEMENTS. "Statements" include, but are not limited to, copies of Judicial Orders issued by State courts and Federal courts, "Denial of Federal Benefits" forms, or "Judicial Notice of Restoration" forms. State courts and Federal courts are requested to send statements to DFBP after sentencing of an offender to denial of Federal benefits, or when the offender's criminal history indicates the individual has been convicted of a third or subsequent drug trafficking offense, or completion of action qualifying the offender for reinstatement of benefits.

16. CONTENTS OF STATEMENTS. All documents submitted to the clearinghouse should set forth the name and date of birth of the offender and any other identification available as specified on the statement Denial of Federal Benefits Form. See Appendix 2, OJP Form 3500/2.
 - a. *Denied Benefits.* Document should state specific benefits to be denied, period of denial, nature of offense (trafficking or possession), existence of a previous drug conviction record, and any other conditions associated with the denial.
 - b. *Benefit Denial Reinstatement.* Any reinstatement of a denial of benefits to an individual should indicate the basis under Section 5301 for the reinstatement and attach materials evidencing completion of a rehabilitation program or other activities qualifying for reinstatement.
 - c. The court shall then complete and forward to the Department of Justice a "Judicial Notice of Restoration" form as provided in paragraphs 15, 16, and 18.
 - d. *Documentation and Exhibits.* The Denial of Benefits Form or an attached court order should be a certified true copy and signed by the sentencing judge.
17. DEFICIENT STATEMENT FILINGS. Documents submitted with incomplete data may be returned to the sender for additional information.
18. SUPPLEMENTAL STATEMENT FILINGS. Documents submitted after the original filing should include all identification information included in the original statement and should indicate that the statement is an update of a previously filed denial. Supplemental information may be provided on Block 15 of the Denial of Federal Benefits for Drug Offenders Form (see Appendix 2, OJP Form 3500/2), or as a narrative statement.
19. FILING OF A STATEMENT.
 - a. State Court Denial of Federal Benefits Form (OMB 1121-0148, approved pursuant to the Paperwork Reduction Act), Federal court forms, and all statements, exhibits, amendments, and other documents and papers filed under the statute or under this Guideline should be submitted to the U.S. Department of Justice, Denial of Federal Benefits Project, Office of Justice Programs, 633 Indiana Avenue, N.W., Room 942, Washington, D.C. 20531.
 - b. Filing of such documents may be made in person or by mail, and they are deemed to be filed upon their receipt by the Project. Courts seeking to deny Federal benefits to convicted drug traffickers and possessors pursuant to Pub.L. 100-690, Section 5301, should execute a statement

and address it to DFBP, Office of Justice Programs, 633 Indiana Avenue, N.W., Washington, D.C. 20531.

20. ACKNOWLEDGEMENT. All statements will be acknowledged in writing by the Denial of Federal Benefits Project office.
21. PRIVACY ACT MATTERS.
 - a. Consistent with the provisions of the Privacy Act of 1974, as amended (5 U.S.C. 552a), and DOJ regulation 28 CFR 20.30, DOJ will maintain records of all information received from State court and Federal court officials, and forward data to the General Services Administration (GSA) for inclusion in the "Lists of Parties Excluded from Federal Procurement or Nonprocurement Programs" (Debarment List). As required, the clearinghouse will provide other Federal agencies with information on specific benefits that have been denied to an individual.
 - b. Upon notification from a State court or Federal court, DOJ will notify GSA, and others as required, of an individual's renewed eligibility for Federal benefits.
 - c. DOJ will also provide information requested by a State court or Federal court regarding current or prior Denial of Federal Benefits sanctions or restoration of benefits determinations.
22. PROCESSING OF STATEMENTS - GSA. To add an exclusionary action to the Debarment List, a letter enumerating the Denial of Federal Benefits actions will be forwarded by DFBP to GSA. The cover letter will include the following information:
 - a. Name and complete address of the excluded participants in alphabetical order.
 - b. Cause for the action, citing the appropriate Cause and Treatment Codes. The Cause and Treatment Codes, including definitions, that are now included in the Lists of Parties Excluded from Federal Procurement or Nonprocurement Programs are contained in Appendix 3.
 - c. The date the period of benefits suspension ends.
 - d. To delete an action that does not have a definite termination date, a brief letter requesting removal of the participant from the Debarment List will be forwarded by DFBP to GSA. GSA is not otherwise authorized to remove an action that does not have a definite termination date from the Debarment List without written notification from the DFBP.
 - e. Prior to submitting any information to GSA for inclusion in the Debarment List, DFBP will determine if GSA's

publication of any of the data elements pertaining to a participant is consistent with the Privacy Act of 1974, Pub.L. 93-579, as amended, 5 U.S.C. 552a et seq. If there are data elements that cannot be published, such data will be deleted.

f. To insure the accuracy of entries on the Debarment List, the DFBP will review and verify the entry(ies) in each edition of the monthly debarment list publication. If an entry is found to be incorrect, GSA will be notified in writing.

g. All requests for exclusion from the Debarment List should be submitted to DFBP for forwarding to the GSA.

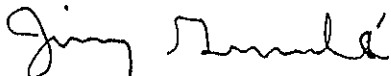
23. RELEASE OF INFORMATION CONCERNING STATEMENTS AND INQUIRIES CONCERNING APPLICATION OF THE ACT. Any inquiries concerning application of the law to any person should be addressed to the U. S. Department of Justice, Office of Justice Programs, Denial of Federal Benefits Project, 633 Indiana Avenue, N.W., Room 942, Washington, D.C. 20531, (202) 307-0630. Information will only be released in accordance with the Privacy Act, the Freedom of Information Act (5 U.S.C. 552), and DOJ regulation 28 CFR 20.30.

24. FEDERAL AGENCY ACTION. The President's implementation procedures of August 30, 1989, direct the Federal agencies to do the following:

- a. As currently required by regulation and Executive Order, each Federal agency should be responsible for checking the contents of the Debarment List for Section 5301 violations prior to the award of any Federal benefit.
- b. Language contained in the current certification procedure in the Federal Acquisition Regulation for procurement programs, and in the common rule established among the Federal agencies for nonprocurement programs, provides for individuals who apply for Federal grants, contracts, or benefits to certify that they have not been debarred, suspended, or otherwise declared ineligible for participation in such programs. We interpret this language to apply to denials that occur as a result of a judicial order, or for a third or subsequent conviction for a drug trafficking offense pursuant to paragraph 6(c).
- c. In those instances where denial of an existing benefit is at issue, each Federal department or agency having administrative authority to disburse Federal benefits under this provision should determine the manner and timeframe for benefit termination. Applicable agency rules pertaining to benefit payments and other administrative matters vary considerably according to the agency and the benefit involved. For instance, termination of a Federal license may be conducted in a fashion

separate from termination of other grants or contracts. Additionally, agency rules governing disbursement of educational grants vary according to the requirements of particular programs, as do regulations concerning Federally insured loans. Accordingly, each agency should ensure that benefit termination occurs in an effective and expeditious manner appropriate to the targeted benefit and reasonable under the circumstances.

25. APPEAL OF DENIAL OF BENEFITS. When any individual denied a benefit under this program believes that the denial is based on false identification, or that the basis for the denial is no longer valid, the individual may appeal the denial to the Federal agency denying the benefit, consistent with any procedures established by that agency or by DFBP. In such event, the clearinghouse will make available to the denying agency documentation relating to the basis for the denial.



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APPENDIX 1. BENEFITS

1. PROCUREMENT PROGRAMS WHICH MAY BE DENIED UNDER SECTION 5301. All Contracts or Purchase Orders issued by Federal agencies or by others using monies appropriated by the Federal government. This will include all Federally awarded acquisition and personal property sales contracts.
2. NON-PROCUREMENT PROGRAMS WHICH MAY BE DENIED UNDER SECTION 5301. This is a partial list of non-procurement benefits as of September 11, 1990. The number preceeding each benefit is either the number assigned that benefit in the Federal Domestic Assistance Catalogue or (when a letter appears) by the Denial of Federal Benefits Project. This list will be modified as Agencies add or delete benefits covered under this project.

** DEPARTMENT OF AGRICULTURE

* Non-License Benefits:

- 10.001 Agricultural Research - Basic and Applied
- 10.028 Animal Damage Control (only grants awarded to individuals)
- 10.051 Commodity Loans and Purchases
- 10.052 Cotton Production Stabilization
- 10.053 Dairy Indemnity Program
- 10.054 Emergency Conservation Program
- 10.055 Feed Grain Production Stabilization
- 10.058 Wheat Production Stabilization
- 10.059 National Wool Act Payments
- 10.062 Water Bank Program
- 10.063 Agricultural Conservation Program
- 10.064 Forestry Incentives Program
- 10.065 Rice Production Stabilization
- 10.066 Emergency Livestock Assistance
- 10.067 Grain Reserve Program
- 10.068 Rural Clean Water Program
- 10.069 Conservation Reserve Program
- 10.070 Colorado River Salinity Control
- 10.163 Market Protection and Promotion
- 10.206 Grants for Agricultural Research - Competitive Research Grants
- 10.212 Small Business Innovation Research
- 10.213 Competitive Research Grants for Forest and Rangeland Renewable Resources
- 10.404 Emergency Loans
- 10.405 Farm Labor Housing Loans and Grants
- 10.406 Farm Operating Loans
- 10.407 Farm Ownership Loans
- 10.410 Very Low and Low Income Housing Loans
- 10.411 Rural Housing Site Loans
- 10.415 Rural Rental Housing Loans
- 10.416 Soil and Water Loans
- 10.417 Very Low-Income Housing Repair Loans and Grants
- 10.420 Rural Self-Help Housing Technical Assistance
- 10.429 Guaranteed Rural Housing Loans - Demonstration Program
- 10.433 Rural Housing Preservation Grants

APPENDIX 1. (CONT'D)

** DEPARTMENT OF AGRICULTURE

* Non-License Benefits:

- 10.437 Interest Rate Reduction Program
- 10.438 Farm Credit System Farm Land Acquisition Program
- 10.450 Federal Crop Insurance
- 10.551 Food Stamps (research grants to individuals and cooperative agreements for research when the agreement is with an individual rather than an institution)
- 10.557 Special Supplemental Food Program for Women, Infants, and Children (cooperative agreements for research when the agreement is with an individual rather than an institution)
- 10.652 Forestry Research (research grants)
- 10.664 Cooperative Forestry Assistance
- 10.900 Great Plains Conservation
- 10.901 Resource Conservation and Development
- 10.902 Soil and Water Conservation
- 10.903 Soil Survey
- 10.904 Watershed Protection and Flood Prevention
- 10.905 Plant Materials for Conservation
- 10.910 Rural Abandoned Mine Program
- 10.960 Technical Agricultural Assistance
- 10.961 International Agricultural Research - Collaborative Program
- 10.962 International Training - Foreign Participant
- 10.963 Scientific and Technical Cooperation
- 10.A02 Child Nutrition Program (cooperative agreements for research when the agreement is with an individual rather than an institution)

* Licenses:

- 10.025 Plant and Animal Diseases, Pest Control, and Animal Care (only licenses issued to individuals under the Animal Welfare and Virus-Serum-Toxin Acts)
- 10.162 Inspection Grading and Standardization
- 10.A01 Licenses under the U.S. Grain Standards Act and the Agricultural Marketing Act of 1946
- 10.A63 Market Protection and Promotion (individuals for grading, inspection, and cottonseed and oilseed testing)

** DEPARTMENT OF COMMERCE

* Non-License Benefits:

- 11.301 Economic Development - Business Development Assistance
 - 11.312 Research and Evaluation Program
 - 11.408 Fishermen's Contingency Fund
 - 11.409 Fishing Vessel and Gear Damage Compensation Fund
 - 11.417 Sea Grant Support
 - 11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program
 - 11.430 Undersea Research
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APPENDIX 1. (CONT'D)

** DEPARTMENT OF COMMERCE

* Non-License Benefits:

- 11.431 Climate and Atmospheric Research
- 11.432 Environmental Research Laboratories Joint Institutes
- 11.612 Advanced Technology Program
- 11.613 State Technology Program
- 11.800 Minority Business Development Centers

* Licenses:

- 11.C01 Professional and Commercial Licenses, Permits and Leases

** DEPARTMENT OF DEFENSE

* Non-License Benefits:

- 12.D01 Graduate Fellowship Programs -- Science and Engineering
- 12.D02 Health Professions Scholarship
- 12.D03 Defense Mapping Agency Sales Agent Program

** DEPARTMENT OF EDUCATION

* Non-License Benefits:

- 84.002 Adult Education - State Administered Basic Grant Program (Stipends)
- 84.003 Bilingual Education - Fellowship Program
- 84.007 Supplemental Educational Opportunity Grants
- 84.015 National Resource Centers and Fellowships Program for Language and Area or Language and International Studies
- 84.017 International Research and Studies
- 84.018 Fulbright-Hays Seminars Abroad - Bilateral Projects
- 84.019 Fulbright-Hays Training Grants - Faculty Research Abroad
- 84.021 Fulbright-Hays Training Grants - Group Projects Abroad
- 84.022 Fulbright-Hays Training Grants - Doctoral Dissertation Research Abroad
- 84.032 Guaranteed Student Loans
- 84.033 College Work-Study Program (Student Assistance)
- 84.036 Library Career Training
- 84.037 National Defense/National Direct/Perkins Loan Cancellations
- 84.038 Perkins (formerly National Direct) Student Loans
- 84.047 Upward Bound
- 84.048 Vocational Education - Basic Grants to States
- 84.051 National Vocational Education Research
- 84.063 Pell Grant Program
- 84.069 Grants to States for State Student Incentives
- 84.077 Bilingual Vocational Training
- 84.083 Women's Educational Equity
- 84.094 Patricia Roberts Harris Fellowships
- 84.099 Bilingual Vocational Instructor Training
- 84.100 Bilingual Vocational Materials, Methods, and Techniques

APPENDIX 1. (CONT'D)

** DEPARTMENT OF EDUCATION

* Non-License Benefits:

- 84.117 Educational Research and Development
- 84.136 Legal Training for the Disadvantaged
- 84.141 Migrant Education - High School Equivalency Program
- 84.149 Migrant Education - College Assistance Migrant Program
- 84.164 Mathematics and Science Education (Teacher Grants)
- 84.170 Jacob K. Javits Fellowships
- 84.176 Paul Douglas Teacher Scholarships
- 84.185 Robert C. Byrd Honors Scholarships
- 84.190 Christa McAuliffe Fellowships
- 84.191 National Adult Education Research
- 84.200 Graduate Assistance in Areas of National Need (Fellowships)
- 84.206 Jacob K. Javits Gifted and Talented Students
- 84.219 Student Literacy Corps
- 84.226 Income Contingent Loan Program (ICL)
- 84.E01 Grant and Aid Programs Directly to Individuals
- 84.E02 National Adult Literacy Volunteer Training Program
- 84.E03 Grant/Aid Programs in which State and Local Education Agencies Provide Directly to Individuals
- 84.E04 Allen J. Ellender Fellowships
- 84.E05 Bilingual Education - Personnel Training Program

** DEPARTMENT OF ENERGY

* Non-License Benefits:

- 81.036 Energy-Related Inventions
- 81.041 State Energy Conservation
- 81.047 Pre-Freshman Engineering
- 81.048 Priorities and Allocations for Energy Programs and Projects
- 81.065 Nuclear Waste Disposal Siting
- 81.084 Minority Honors Training and Industrial Assistance Program
- 81.089 Fossil Energy Research and Development
- 81.095 Nuclear Energy, Reactor Systems, Development, and Technology
- 81.096 Innovative Clean Coal Technology
- 81.097 Science and Engineering Research Semester
- 81.E18 Strategic Petroleum Reserve
- 81.E19 Naval Petroleum and Oil Shale Reserves
- 81.E20 Minority Undergraduate Training in Energy-Related Careers Program
- 81.E22 Liquefied Gaseous Fuels Spill Test Facility
- 81.E24 Health Physics Fellowship Program
- 81.E27 Environment, Safety and Health Oversight
- 81.E29 Fuels Programs
- 81.E30 Bonneville Power Administration
- 81.E32 Conservation and Renewable Energy
- 81.E33 Defense Programs

APPENDIX 1. (CONT'D)

** DEPARTMENT OF ENERGY

* Non-License Benefits:

81.E34 Energy Information Administration
81.E35 Energy Research
81.E36 Environmental Restoration and Waste Management
81.E37 Environment, Safety and Health
81.E39 Fossil Energy
81.E40 Minority Economic Impact
81.E41 New Production Reactors
81.E43 Nuclear Energy
81.E44 Nuclear Safety
81.E45 Southeastern Power Administration
81.E46 Southwestern Power Administration
81.E48 Western Area Power Administration

* Licenses:

81.003 Granting of Patent Licenses

** DEPARTMENT OF HEALTH AND HUMAN SERVICES

* Non-License Benefits:

13.108 Health Education Assistance Loans
13.142 NIEHS Hazardous Waste Worker Health and Safety Training
13.161 Health Program for Toxic Substances and Disease Registry
13.180 Medical Treatment Effectiveness Research
13.184 Disabilities Prevention
13.186 National Research Services Awards
13.217 Family Planning - Services
13.244 Mental Health Clinical or Service Related Training Grants
13.263 Occupational Safety and Health - Training Grants
13.272 Alcohol National Research Service Awards for Research Training
13.273 Alcohol Research Programs
13.277 Drug Abuse Scientist Development Award for Clinicians - Scientist Development Awards
and Research Scientist Awards
13.278 Drug Abuse National Research Service Awards for Research Training
13.281 Mental Research Scientist Development Award and Research Scientist Development
Awards for Clinicians
13.361 Nursing Research
13.393 Cancer Cause and Prevention Research
13.394 Cancer Detection and Diagnosis Research
13.395 Cancer Treatment Research
13.396 Cancer Biology Research
13.399 Cancer Control
13.790 Work Incentive Program/WIN Demonstration Program
13.792 Community Services Block Grant
13.793 Community Services Block Grant - Discretionary Awards

APPENDIX 1. (CONT'D)

** DEPARTMENT OF HEALTH AND HUMAN SERVICES

* Non-License Benefits:

- 13.821 Biophysics and Physiological Sciences
- 13.822 Health Careers Opportunity Program
- 13.837 Heart and Vascular Diseases Research
- 13.838 Lung Diseases Research
- 13.839 Blood Diseases and Resources Research
- 13.846 Arthritis, Musculoskeletal and Skin Diseases Research
- 13.847 Diabetes, Endocrinology and Metabolism Research
- 13.848 Digestive Diseases and Nutrition Research
- 13.849 Kidney Diseases, Urology and Hematology Research
- 13.855 Allergy, Immunology and Transplantation Research
- 13.856 Microbiology and Infectious Diseases Research
- 13.859 Pharmacological Sciences
- 13.862 Genetics Research
- 13.863 Cellular and Molecular Basis of Disease Research
- 13.864 Population Research
- 13.865 Research for Mothers and Children
- 13.866 Aging Research
- 13.867 Retinal and Choroidal Diseases Research
- 13.868 Anterior Segment Diseases Research
- 13.871 Strabismus, Amblyopia and Visual Processing
- 13.880 Minority Access to Research Careers
- 13.973 Special Loans for National Health Service Corps Members to Enter Private Practice
- 13.982 Mental Health Disaster Assistance and Emergency Mental Health
- 13.989 Senior International Fellowships
- 13.995 Adolescent Family Life - Demonstration Projects

** DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

* Non-License Benefits:

- 14.141 Section 106(b) Nonprofit Sponsor Assistance Program
- 14.179 Nehemiah Housing Opportunity Grant Program
- 14.218 Community Development Block Grants
- 14.219 Community Development Block Grants (except for public services)
- 14.220 Section 312 Rehabilitation Loans
- 14.221 Urban Development Action Grants
- 14.222 Urban Homesteading
- 14.230 Rental Housing Rehabilitation
- 14.H01 Neighborhood Development Demonstration Grants

** DEPARTMENT OF THE INTERIOR

* Non-License Benefits:

- 15.222 Cooperative Inspection Agreements with States and Tribes
- 15.904 Historic Preservation Fund Grants-In-Aid/Acquisition or Development Assistance

APPENDIX 1. (CONT'D)

** DEPARTMENT OF THE INTERIOR

* Non-License Benefits:

15.910 National Natural Landmarks Program

* Licenses:

15.101 Licenses for Commercial Outfitters

15.102 Professional and Commercial Licenses, Permits and Leases

** DEPARTMENT OF JUSTICE

* Non-License Benefits:

16.541 Juvenile Justice and Delinquency Prevention - Special Emphasis

16.542 National Institute of Juvenile Justice

16.560 Justice Research and Development Project Grants

16.582 Crime Victim Assistance/Discretionary Grants

16.J01 NIJ - Fellowship and Research Grants

16.J02 BJA - Anti Drug Grants

* Licenses:

16.J03 Narcotics Practitioners Registration

** DEPARTMENT OF LABOR

* Non-License Benefits:

17.248 Employment and Training Research and Development Project Contracts and Grants (ETA)

17.250 Job Training Partnership Act

17.A01 Miner Training Instructor Approval (MSHA)

17.A02 Miner Certification and Qualification (MSHA)

* Licenses:

17.202 Certification of Foreign Workers for Temporary Agricultural and Logging Employment

17.203 Labor Certification for Alien Workers

17.308 Farm Labor Contractor Registration

** DEPARTMENT OF TRANSPORTATION

* Non-License Benefits:

20.001 Boating Safety

20.106 Airport Improvement Program

20.215 Highway Training and Education

20.600 State and Community Highway Safety

20.800 Construction - Differential Subsidies

20.802 Title XI - Federal Ship Financing Guarantees

20.804 Operating - Differential Subsidies

20.805 Ship Sales

20.806 State Marine Schools

APPENDIX 1. (CONT'D)

** DEPARTMENT OF TRANSPORTATION

* Non-License Benefits:

- 20.807 U.S. Merchant Marine Academy
- 20.808 Capital Construction Fund
- 20.810 Supplementary Training
- 20.812 Construction Reserve Fund
- 20.T13 Essential Air Service Program
- 20.T14 Merchant Mariner's Document

* Licenses:

- 20.T01 Professional or Commercial Airmen Certificates
- 20.T02 Designation as Representatives of the FAA
- 20.T03 Aviation Operating Certificates
- 20.T04 Merchant Marine Licenses
- 20.T05 Merchant Marine Certificates of Registration
- 20.T06 License, Vessel activity: Fishing, Recreation
- 20.T07 Commercial Motor Vehicle Drivers Disqualification
- 20.T08 Grants for Research Fellowships granted by FHWA
- 20.T09 Locomotive Operator Disqualification
- 20.T10 Exemptions to individual carriers and shippers of hazardous materials
- 20.T11 Certificates for Schools and Other Air Agencies
- 20.T12 Air Carrier Fitness Certificates

** DEPARTMENT OF THE TREASURY

* Licenses:

- 21.T01 Import Brokerage Licenses
- 21.T02 Alcohol, Tobacco and Firearms Licenses and Permits
- 21.T03 Banking Licenses

** DEPARTMENT OF VETERANS AFFAIRS

* Non-License Benefits:

- 64.001 Medical Research Support
- 64.005 Grants to States for Construction of State Homes
- 64.018 Sharing Specialized Medical Resources
- 64.203 State Cemetery Grants

** ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

* Non-License Benefits:

- 25.A01 Other Services (Research Grant Program)

** AGENCY FOR INTERNATIONAL DEVELOPMENT

* Non-License Benefits:

- 26.A01 Participant Training Grants

APPENDIX 1. (CONT'D)

** AGENCY FOR INTERNATIONAL DEVELOPMENT

* Non-License Benefits:

- 26.A02 Science Advisor's Small Research Program Grants
- 26.A03 Intermediate Foreign Credit Institution Loan Portfolios

** APPALACHIAN REGIONAL COMMISSION

* Non-License Benefits:

- 23.005 Appalachian Housing Project Planning Loan, Technical Assistance Grant and Site Development and Off-site Improvement Grant: State Appalachian Housing Programs

** COMMISSION OF FINE ARTS

* Non-License Benefits:

- 91.A01 National Capital Arts and Cultural Affairs Program

** COMMISSION ON THE BICENTENNIAL OF THE U.S. CONSTITUTION

* Non-License Benefits:

- 90.001 Bicentennial Educational Grant Program

* Licenses:

- 90.801 Commercial Logo Licensing Program

** CONSUMER PRODUCT SAFETY COMMISSION

* Non-License Benefits:

- 92.S01 Grants

** ENVIRONMENTAL PROTECTION AGENCY

* Non-License Benefits:

- 66.456 National Estuary Program
- 66.500 Environmental Protection - Consolidated Research
- 66.501 Air Pollution Control Research
- 66.502 Pesticides Control Research
- 66.504 Solid Waste Disposal Research
- 66.505 Water Pollution Control - Research, Development, and Demonstration
- 66.506 Safe Drinking Water Research and Demonstration
- 66.507 Toxic Substances Research
- 66.E01 Special Studies, Investigations, and Surveys
- 66.E02 Chesapeake Bay Program
- 66.E07 Nonpoint Source Implementation

** FEDERAL COMMUNICATIONS COMMISSION

* Licenses:

- 32.F01 Television Broadcast Licenses

APPENDIX 1. (CONT'D)

** FEDERAL COMMUNICATIONS COMMISSION

* Licenses:

- 32.F02 Radio Broadcast Licenses
- 32.F03 FM and TV Booster Licenses
- 32.F04 FM and TV Translator Licenses (Including Low Power TV)
- 32.F05 Instructional Television Fixed Service Licenses
- 32.F06 Cable Television Relay Service Licenses
- 32.F07 Broadcast Auxiliary Service Licenses
- 32.F08 Direct Broadcast Satellite Licenses
- 32.F09 High Frequency (International) Broadcast Licenses
- 32.F10 Public Land Mobile Radio Licenses
- 32.F11 Cellular System Licenses
- 32.F12 Rural Radio Licenses
- 32.F13 Offshore Radio Licenses
- 32.F14 Point-to-Point Microwave and Local Television Radio Service Licenses
- 32.F15 Multipoint Distribution Service Licenses
- 32.F16 Digital Electronic Message Service Licenses
- 32.F17 International Fixed Public Radio Licenses
- 32.F18 Transmit and/or Receive Satellite Earth Station Licenses
- 32.F19 Section 214 Certificates for Construction of Facilities
- 32.F20 Telephone Equipment Registrations
- 32.F21 Aviation Service Licenses
- 32.F22 Maritime Service Licenses
- 32.F23 Land Mobile Radio Licenses
- 32.F24 Private Operational Fixed Microwave Licenses
- 32.F25 Equipment Certifications
- 32.F26 Equipment Type Acceptance
- 32.F27 Equipment Type Approvals
- 32.F28 Equipment Notifications
- 32.F29 Radio Telephone Operator's Certificates (1st, 2nd, and 3rd Class) (including endorsements)
- 32.F30 General Radio Telephone Operator's Licenses (including endorsements)
- 32.F31 Marine Radio Operator's Permits
- 32.F32 Restricted Radiotelephone Operator's License
- 32.F33 Permits to Deliver Programs to Foreign Broadcast Stations
- 32.F34 Cable Landing Licenses
- 32.F35 Space Station Licenses
- 32.F36 General Mobile Radio Service Licenses
- 32.F37 Experimental and Developmental Radio Licenses (all services)

** FEDERAL EMERGENCY MANAGEMENT AGENCY

* Non-License Benefits:

- 83.516 Disaster Assistance

** FEDERAL ENERGY REGULATORY COMMISSION

* Non-License Benefits:

- 28.F03 Exemption for Small Hydroelectric Projects

APPENDIX 1. (CONT'D)

** FEDERAL ENERGY REGULATORY COMMISSION

* Non-License Benefits:

28.F05 Qualifying Facilities Status for Cogeneration and Small Power Production

* Licenses:

28.F01 Preliminary Permit for Hydroelectric Projects

28.F02 License for Hydroelectric Projects

28.F04 Certificate of Public Convenience and Necessity Under Section 7 of the Natural Gas Act

** FEDERAL MARITIME COMMISSION

* Non-License Benefits:

33.F02 Non-attorney admissions to practice

* Licenses:

33.001 Ocean Freight Forwarder Licenses

** FEDERAL MEDIATION AND CONCILIATION SERVICE

* Non-License Benefits:

34.F03 Grants

** HARRY S TRUMAN SCHOLARSHIP FOUNDATION

* Non-License Benefits:

85.001 Harry S Truman Scholarship Program

** INTERSTATE COMMERCE COMMISSION

* Licenses:

41.103 Certificate to operate as motor, water or rail carriers

41.104 Licenses to operate as motor, water or rail carriers

41.106 Permits to operate as motor, water or rail carriers

41.107 Certificates for household goods freight forwarders

41.108 Licenses for household goods freight forwarders

41.109 Permits for household goods freight forwarders

41.110 Certificates to property brokers

41.111 Licenses for property brokers

41.112 Permits for property brokers

41.113 Practitioner's license

** NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

* Non-License Benefits:

89.003 National Historical Publications and Records Grants

APPENDIX 1. (CONT'D)

** NATIONAL CREDIT UNION ADMINISTRATION

* Licenses:

44.001 Credit Union Charter (License)

** NATIONAL ENDOWMENT FOR THE ARTS

* Non-License Benefits:

- 45.001 Design Arts Program - Design Advancement, USA Fellowships
- 45.002 Dance Program - Choreographers' Fellowships, Dance/Film/Video, General Services to the Field, Special Projects
- 45.004 Literature Program - Assistance to Literary Magazines, Fellowships for Creative Writers, Fellowships for Translators, Senior Fellowships for Literature, Small Press Assistance
- 45.005 Music Program - Collaborative Fellowships, Composers Fellowships, Fellowships for American Jazz Masters, Jazz Composition, Jazz Performance, Jazz Special Projects, Jazz Study, Music Recording, Solo Recitalists
- 45.006 Media Arts Program - Film/Video Production, Radio Production
- 45.008 Theater Program - Distinguished Theater Artist Fellowships, Fellowships for Playwrights, Fellowships for Solo Performance Theater Artists and Mimes
- 45.009 Visual Arts Program - Visual Artists Fellowships
- 45.012 Museum Program - Fellowships for Museum Professionals
- 45.014 Opera - Musical Theater Program - New American Works/Individuals as Producers, Special Projects
- 45.015 Folk Arts Program - National Heritage Fellowships
- 45.021 Promotion of the Arts - Arts Administration Fellows Program
- 45.023 Promotion of the Arts - Locals Program

** NATIONAL ENDOWMENT FOR THE HUMANITIES

* Non-License Benefits:

- 45.104 Promotion of the Humanities - Humanities Projects in Media
- 45.113 Promotion of the Humanities - Public Humanities Projects
- 45.115 Promotion of the Humanities - Younger Scholars
- 45.116 Promotion of the Humanities - Summer Seminars for College Teachers
- 45.121 Promotion of the Humanities - Summer Stipends
- 45.122 Promotion of the Humanities - Regrants/Centers for Advanced Study
- 45.124 Promotion of the Humanities - Reference Materials/Access
- 45.125 Promotion of the Humanities - Humanities Projects in Museums and Historical Organizations
- 45.127 Promotion of the Humanities - Elementary and Secondary Education in the Humanities
- 45.132 Promotion of the Humanities - Texts/Publication Subvention
- 45.133 Promotion of the Humanities - Interpretive Research/Humanities, Science and Technology
- 45.134 Promotion of the Humanities - Regrants/Conferences
- 45.137 Promotion of the Humanities - Humanities Projects in Libraries and Archives
- 45.140 Promotion of the Humanities - Interpretive Research/Projects

APPENDIX 1. (CONT'D)

** NATIONAL ENDOWMENT FOR THE HUMANITIES

* Non-License Benefits:

- 45.142 Promotion of the Humanities - Fellowships for University Teachers
- 45.143 Promotion of the Humanities - Fellowships for College Teachers and Independent Scholars
- 45.145 Promotion of the Humanities - Reference Materials/Tools
- 45.146 Promotion of the Humanities - Texts/Editions
- 45.147 Promotion of the Humanities - Texts/Translations
- 45.148 Promotion of the Humanities - Re-grants Program/International Research
- 45.149 Promotion of the Humanities - Office of Preservation
- 45.150 Promotion of the Humanities - Higher Education in the Humanities
- 45.151 Promotion of the Humanities - Summer Seminars for School Teachers
- 45.152 Promotion of the Humanities Travel to Collections
- 45.153 Promotion of the Humanities - Re-grants Program/Selected Areas
- 45.154 NEH/Reader's Digest Teacher - Scholar Program

** NATIONAL SCIENCE FOUNDATION

* Non-License Benefits:

- 47.009 Graduate Research Fellowships
- 47.041 Engineering Grants
- 47.049 Mathematical and Physical Sciences
- 47.050 Geosciences
- 47.051 Biological, Behavioral, and Social Sciences
- 47.053 Scientific, Technological, and International Affairs
- 47.066 Teacher Preparation and Enhancement
- 47.067 Materials Development, Research, and Informal Science Education
- 47.068 Studies and Program Assessment
- 47.069 Research Initiation and Improvement
- 47.070 Computer and Information Science and Engineering
- 47.071 Undergraduate Science, Engineering, and Mathematics Education
- 47.072 Young Scholars

** NUCLEAR REGULATORY COMMISSION

* Licenses:

- 77.N01 Nuclear Reactor Operators License
- 77.N02 Materials Licenses (to individuals and corporate entities)

** SMALL BUSINESS ADMINISTRATION

* Non-License Benefits:

- 59.002 Economic Injury Disaster Loans (EIDL)
- 59.003 Loans for Small Businesses
- 59.007 Management and Technical Assistance for Socially and Economically Disadvantaged Businesses

APPENDIX 1. (CONT'D)

** SMALL BUSINESS ADMINISTRATION

* Non-License Benefits:

59.008 Physical Disaster Loans
59.011 Small Business Investment Companies (SBICs)
59.012 Small Business Loans
59.013 State and Local Development Company Loans
59.016 Bond Guarantees for Surety Companies
59.021 Handicapped Assistance Loans
59.038 Veterans Loan Program
59.041 Certified Development Company Loans
59.042 Business Loans for 8(a) Program Recipients
59.043 Women's Business Ownership Assistance
59.044 Veterans Entrepreneurial Training Assistance

** SMITHSONIAN INSTITUTION

* Non-License Benefits:

98.S01 Fellowships

** TENNESSEE VALLEY AUTHORITY

* Non-License Benefits:


62.004 Tennessee Valley Region - Economic Development
62.005 Tennessee Valley Region - Natural Resources Development
62.006 Tennessee Valley Region - Valley Agricultural Institute
62.T01 Power Research
62.T03 Development Programs

** UNITED STATES INFORMATION AGENCY

* Non-License Benefits:

82.001 Educational Exchange - Graduate Students
82.002 Educational Exchange - University Lecturers (Professors) and Research Scholars
82.C03 Educational Exchange - Secondary School Teachers
82.I01 Educational Exchange - Elementary School Teachers

APPENDIX 2. DENIAL OF FEDERAL BENEFITS FOR DRUG OFFENDERS

 <p>U.S. DEPARTMENT OF JUSTICE OFFICE OF JUSTICE PROGRAMS WASHINGTON, D.C. 20531</p> <p>Denial of Federal Benefits for Drug Offenders</p>		OMB APPROVED: 1121-0148 EXPIRES: MARCH 1993 This requirement is pursuant to authority of 21 U.S.C. 853a. Courts may use this form or submit court documents. If the information requested below is included in court documents, complete only items 1-10, and submit this form as a transmittal sheet.	
1. INDICATE NAME Last, first, middle		2. SEX: <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE	3. SENTENCING DATE:
4. OTHER NAMES USED (AKA):			
5. STREET ADDRESS:			
6. CITY:		7. STATE:	8. ZIP CODE:
9. DATE OF BIRTH:	10. SOCIAL SECURITY NUMBER:	11. CASE/DOCKET NUMBER:	
12. OFFENSE: <input type="checkbox"/> DRUG TRAFFICKER <input type="checkbox"/> DRUG POSSESSOR		13. SENTENCE BY COURT: A. STATUTORY CRITERIA:	
14. NAME OF SENTENCING JUDGE:		<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p style="text-align: center; font-weight: bold; font-size: small;">TRAFFICKER</p> <p><input type="checkbox"/> FIRST OFFENSE ____ YEAR(S) (up to 5 years)</p> <p><input type="checkbox"/> SECOND OFFENSE ____ YEAR(S) (up to 10 years)</p> <p><input type="checkbox"/> THIRD OFFENSE PERMANENTLY DENIED</p> </div> <div style="width: 48%;"> <p style="text-align: center; font-weight: bold; font-size: small;">POSSESSOR</p> <p><input type="checkbox"/> FIRST OFFENSE ____ YEAR(S) (up to 1 year)</p> <p><input type="checkbox"/> SECOND OFFENSE ____ YEAR(S) (up to 5 years)</p> <p><input type="checkbox"/> SUBSEQUENT OFFENSE, ____ YEAR(S) (up to 5 years)</p> </div> </div>	
		B. OTHER CONDITIONS:	
15. DURATION OF DENIAL: A. STARTING DATE _____ B. ENDING DATE _____			
16. BENEFITS DENIED: <input type="checkbox"/> ALL BENEFITS ARE DENIED. <input type="checkbox"/> SELECTED BENEFIT(S) ARE DENIED AS SPECIFIED BELOW:			
17. ADDITIONAL INFORMATION:			
18. RESCISSIONS: THE PERIOD OF INELIGIBILITY FOR FEDERAL BENEFITS WHICH WAS IMPOSED BY THE COURT IN THE ABOVE REFERENCED CASE IS HEREBY SUSPENDED FOR THE REASON THAT: <input type="checkbox"/> DEFENDANT HAS SUCCESSFULLY COMPLETED A DRUG REHABILITATION PROGRAM. <input type="checkbox"/> OTHER <i>Specify</i> .			
19. SIGNATURE AND TITLE OF AUTHORIZED COURT OFFICIAL:			
20. COURT NAME:		21. TELEPHONE (Area Code)	
22. STREET ADDRESS:			
23. CITY:		24. STATE:	25. ZIP CODE:

OJP FORM 3500/2 (5-90)

OJP Denial of Federal Benefits for Drug Offenders

INSTRUCTIONS

NOTE: If all the information requested in this form is included in court documents *submitted with this form*, complete only items 1-10 and use this form as a transmittal sheet attached to the signed court documents. This form may also be used to restore benefits and as a rescission of the denial of benefits by using item 18. This form and appropriate court documents must be forwarded to:

U.S. Department of Justice
Office of Justice Programs
Denial of Federal Benefits Project
633 Indiana Avenue, N.W.
Washington, D.C. 20531

1. The name of the offender to be denied benefits, listing last, first, and middle names.
2. Indicate sex of the offender to aid in identification.
3. Enter date on which a judge rendered sentence calling for the denial of Federal benefits.
4. Other names used by the offender (aliases).
5. Last known address of the offender. (This should not be a prison or jail address.)
6. Date of birth of the offender.
7. Social Security Number of the offender.
8. Case or document identification number of the court order or other document upon which the denial of Federal benefits is based.
9. Federal or State offense of which the offender is convicted.
10. Terms of the sentence of the offender under Statute and other conditions.
11. Name of the judge sentencing the offender to denial of Federal benefits.
12. If denied, the duration of the denial of Federal benefits, including the starting date and the ending date.
13. Indicate whether under 21 U.S.C. 83a all Federal benefits are to be denied or whether selected benefits are to be denied, and specify which benefits are selected.
14. Additional information:
 - a. If known, list other identification numbers assigned to the offender by the incarcerating State or local police department or FBI.
 - b. Indicate whether the offender has been convicted of prior drug offense(s). The court may submit records of prior drug convictions.
 - c. Indicate whether the offender will receive drug treatment. Give the starting date and the completion date of the drug treatment.
15. Indicate if benefits have been restored, or other rescissions. State the date that eligibility for Federal benefits is restored by action of the court. (This item is to be completed only after treatment has been completed and further action is taken by the court.)
16. Signature of an official of the court. This may be the signature of the sentencing judge if no other court order of denial of benefits is signed, or, it may be signed by another court official authorized to supply information.
17. Name of the court issuing the sentence.
18. Indicate the phone number of the court issuing the sentence.
19. Address of the court issuing the sentence.

Public reporting for this collection of information is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspects of this collection of information, including suggestions for reducing this burden, to the Denial of Federal Benefits Project, Office of Justice Programs, U.S. Department of Justice, 633 Indiana Ave., N.W., Washington, D.C. 20531; and to the Public Use Reports Project, 1121-0148, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

PARTIES EXCLUDED FROM PROCUREMENT PROGRAMS

AA

CAUSE

Denial of ALL Federal contracts by a sentencing judge pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988 on the basis of a conviction(s) for a Federal or State offense relating to the distribution or possession of controlled substances.

TREATMENT

Listed persons shall not be issued any contract provided by an agency of the United States or by appropriated funds of the United States. Subcontracts awarded with appropriated Federal funds shall also be denied. The denial shall terminate on the date shown. Persons convicted for a third offense relating to distribution of controlled substances after the effective date of the Act shall be denied benefits permanently. Therefore, the termination date for such denials shall be listed as "permanent" (Perm.).

BB

CAUSE

PARTIAL denial of Federal contracts by a sentencing judge pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988 on the basis of a conviction(s) for a Federal or State offense relating to the distribution or possession of controlled substances.

TREATMENT

Listed persons shall not be issued contracts or subcontracts as specified by the sentencing judge which are provided by an agency of the United States or by appropriated funds of the United States. Contact the U.S. Department of Justice's Denial of Federal Benefits Project Liaison shown under the heading "For Further Information" in the front of this issue to determine the extent to which benefits have been denied. The denial shall terminate on the date included in the listing. Persons convicted for a third offense relating to distribution of controlled substances after the effective date of the Act shall be denied benefits permanently. Therefore, the termination date for such denials shall be listed as "permanent" (Perm.).

NOTE

A denial of benefits under Section 5301 of the Anti-Drug Abuse Act of 1988 does not include benefits relating to long-term drug treatment programs for addiction for any person who declares himself an addict, provides a reasonable body of evidence to substantiate this declaration, and submits to a long-term treatment program for addiction, or is deemed to be rehabilitated pursuant to rules established by the Secretary of Health and Human Services. The denial of benefits may also be suspended on the basis of the person's participation or good faith effort to participate in a supervised rehabilitation program. Contact the U.S. Department of Justice's Denial of Federal Benefits Project Liaison shown under the heading "For Further Information" in the front of this issue to verify any assertions that the denial of benefits does not apply, or has been waived or suspended on this basis.

PARTIES EXCLUDED FROM NONPROCUREMENT PROGRAMS

PP

CAUSE

Denial of ALL Federal benefits by a sentencing judge pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988 on the basis of a conviction(s) for a Federal or State offense relating to the distribution or possession of controlled substances.

TREATMENT

Listed persons shall not be issued any grant, contract, loan, professional license, commercial license, provided by an agency of the United States or by appropriated funds of the United States. The denial DOES NOT include any retirement, welfare, Social Security, health, disability, veterans benefit, public housing, or other similar benefit, or any other benefit for which payments or services are required for eligibility. Veterans benefits include all benefits provided to veterans, their families, or survivors by virtue of the service of a veteran in the Armed Services of the United States. The denial shall terminate on the date shown. Persons convicted for a third offense relating to distribution of controlled substances after the effective date of the Act shall be denied benefits permanently. Therefore, the termination date for such denials shall be listed as "permanent" (Perm.).

QQ

CAUSE

PARTIAL denial of Federal benefits by a sentencing judge pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988 on the basis of a conviction(s) for a Federal or State offense relating to the distribution or possession of controlled substances.

TREATMENT

Listed persons shall not be issued grants, contracts, loans, and/or professional or commercial licenses as specified by the sentencing judge which are provided by an agency of the United States or by appropriated funds of the United States. Contact the U.S. Department of Justice's Denial of Federal Benefits Project liaison shown under the heading "For Further Information" in the front of this issue. The denial DOES NOT include any retirement, welfare, Social Security, health, disability, veterans benefit, public housing, or other similar benefit, or any other benefit for which payments or services are required for eligibility. Veterans benefits include all benefits provided to veterans, their families, or survivors by virtue of the service of a veteran in the Armed Services of the United States. The denial shall terminate on the date shown. Persons convicted for a third offense relating to distribution of controlled substances after the effective date of the Act shall be denied benefits permanently. Therefore, the termination date for such denials shall be listed as "permanent" (Perm.).

NOTE

A denial of benefits under Section 5301 of the Anti-Drug Abuse Act of 1988 does not include benefits relating to long-term drug treatment programs for addiction for any person who declares himself an addict, provides a reasonable body of evidence to substantiate this declaration, and submits to a long-term treatment program for addiction, or is deemed to be rehabilitated pursuant to rules established by the Secretary of Health and Human Services. The denial of benefits may also be suspended on the basis of the person's participation or good faith effort to participate in a supervised rehabilitation program. Contact the U.S. Department of Justice's Denial of Federal Benefits Project liaison shown under the heading "For Further Information" in the front of this issue to verify any assertions that the denial of benefits does not apply, or has been waived or suspended on this basis.

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